

## **Carmarthenshire County Council**

### **Assessing Impact**

#### **The Equality Act 2010**

The Equality Act 2010 (the Act) brings together and replaces the previous anti-discrimination laws with a single Act. It simplifies and strengthens the law, removes inconsistencies and makes it easier for people to understand and comply with it. The majority of the Act came into force on 1 October 2010.

The Act includes a new public sector equality duty (the 'general duty'), replacing the separate duties on race, disability and gender equality. This came into force on 5 April 2011.

#### **What is the general duty?**

The aim of the general duty is to ensure that public authorities and those carrying out a public function consider how they can positively contribute to a fairer society through advancing equality and good relations in their day-to-day activities. The duty ensures that equality considerations are built into the design of policies and the delivery of services and that they are kept under review. This will achieve better outcomes for all.

The duties are legal obligations. Failure to meet the duties may result in authorities being exposed to legal challenge.

Under equality legislation, public authorities have legal duties to pay 'due regard' to the need to eliminate discrimination and promote equality with regard to race, disability and gender, including gender reassignment, as well as to promote good race relations. The Equality Act 2010 introduces a new public sector duty which extends this coverage to age, sexual orientation, pregnancy and maternity, and religion or belief. The law requires that this duty to pay 'due regard' be demonstrated in the decision making process. It is also important to note that public authorities subject to the equality duties are also likely to be subject to the obligations under the Human Rights Act and it is therefore wise also to consider the potential impact that decisions could have on human rights as part of the same process.

## **Carmarthenshire's approach to Equality Impact**

In order to ensure that the council is considering the potential equality impact of its proposed policies and practices, and in order to evidence that we have done so, every proposal will be required to be supported by the attached Equality Impact Assessment.

Where this assessment identifies a significant impact then more detail may be required.

## **Reporting on assessments**

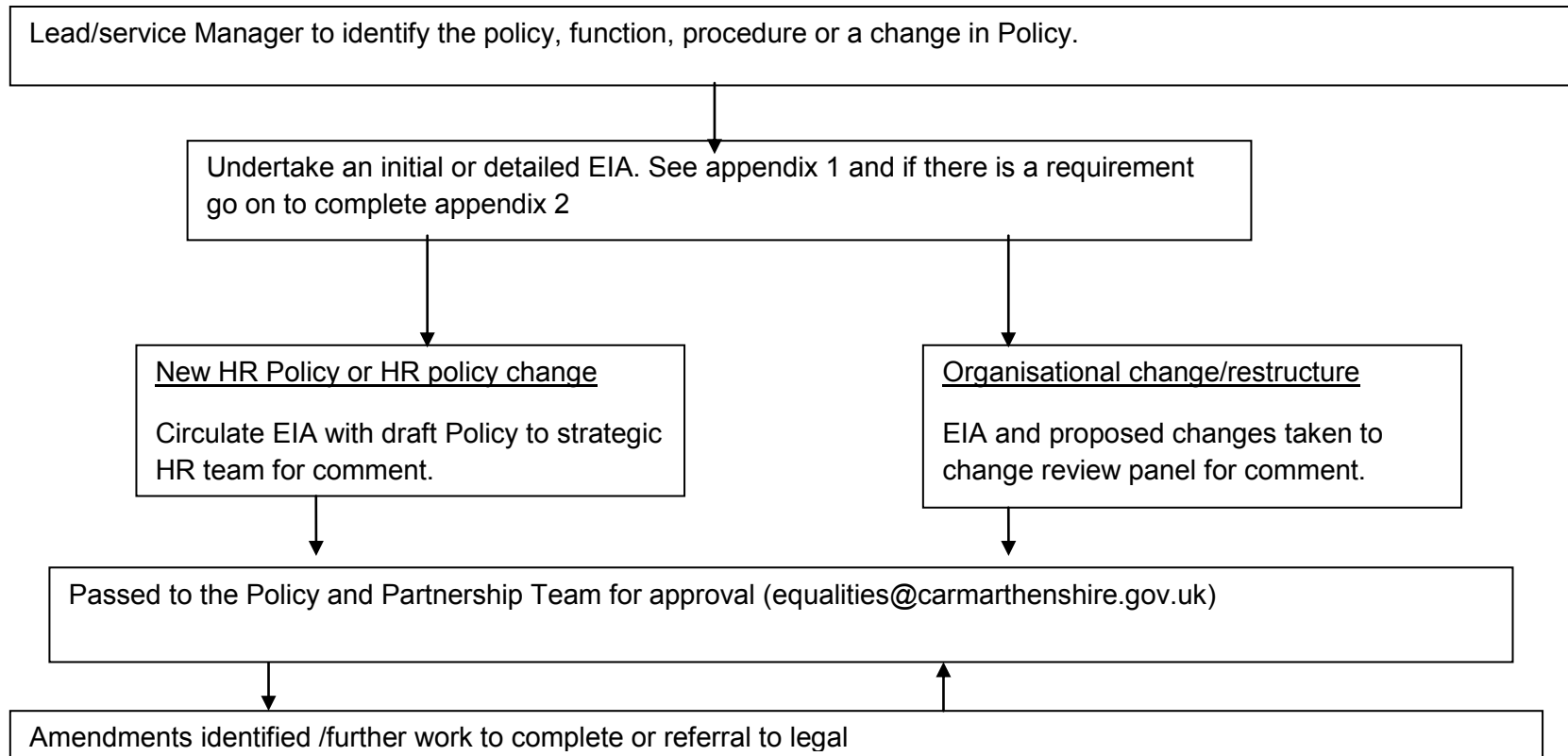
Where it is clear from the assessment that the likely impact on the authority's ability to meet the general duty is substantial, then it must publish a report.

## **Initial and Detailed Equality Impact Assessments**

The initial EIA (appendix 1) is a simple and quick method of assessing the effect of a policy, function, procedure, decision including financial cuts on one or more of the protected characteristics.

The Service Manager responsible for the relevant new or revised policies, functions, procedures and financial decisions must undertake, at least, an initial EIA and where relevant a detailed Equality Impact Assessment (appendix 2); EIA must be attached as background paper with reports to Executive and Scrutiny .

**Equality impact assessment – Process to follow where HR implications have been identified**



# Initial Equalities Impact Assessment Template

## Appendix 1

<b>Department:</b>	<b>Completed by (lead):</b> Ian Llewelyn Forward Planning Manager	<b>Date of initial assessment: 27 January 2016</b>  <b>Revision Dates:</b>
<b>Area to be assessed: (i.e. name of policy, function, procedure, practice or a financial decision)</b>	Supplementary Planning Guidance to the Adopted Local Development Plan in respect of the <b>Archaeology and New Development</b>	
<b>Is this existing or new function/policy, procedure, practice or decision?</b>	Existing: Elaboration of LDP policy, good practice and expression of International and National Legislation and Guidance.	
<b>What evidence has been used to inform the assessment and policy? (please list only)</b>		
<p>Carmarthenshire Local Development Plan</p> <p>Legislation and Guidance as set out within the SPG</p> <p>Historic Environment (Wales) Bill</p> <p>LDP Sustainability Appraisal/Strategic Environmental Assessment</p> <p>Carmarthenshire Integrated Community Strategy</p> <p>Historic Environment Record (Dyfed Archaeological Trust)</p> <p>Welsh Office Circular 60/96 – <i>Planning and the Historic Environment: Archaeology</i></p> <p>Welsh Government Circular WGC 016/2014 - The Use of Planning Conditions for Development Management</p> <p>Best Practice for Treatment of Human Remains Excavated from Christian Burial Grounds in England: 2005</p> <p>Register of Landscapes, Parks and Gardens of Special Historic Interest in Wales</p>		

Register of Landscapes of Historic Interest in Wales

Guide to Good Practice on Using the Register of Landscapes of Historic Interest in Wales in the Planning and Development Process (Revised 2007)

<p><b>1. Describe the aims, objectives or purpose of the proposed function/policy, practice, procedure or decision and who is intended to benefit.</b></p>		<p>To elaborate on the land use planning policies and provisions of the statutory Adopted Local Development Plan for Carmarthenshire (excluding that part contained within the Brecon Beacons National Park). In so doing to assist in guiding developers/applicants in the formulation of development proposals and to provide further guidance in relation to the decision making process.</p>							
<p><b>The Public Sector Equality Duty requires the Council to have “due regard” to the need to:-</b></p> <p>(1) eliminate unlawful discrimination, harassment and victimisation;</p> <p>(2) advance equality of opportunity between different groups; and</p> <p>(3) foster good relations between different groups</p> <p>(see guidance notes)</p>		<p><b>2. What is the level of impact on each group/ protected characteristics in terms of the three aims of the duty?</b></p> <p><b>Please indicate high (H) medium (M), low (L), no effect (N) for each.</b></p>	<p><b>3. Identify the risk or positive effect that could result for each of the group/protected characteristics?</b></p> <table border="1"> <thead> <tr> <th>Risks</th> <th>Positive effects</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> </tbody> </table>		Risks	Positive effects			<p><b>4. If there is a disproportionately negative impact what mitigating factors have you considered?</b></p>
Risks	Positive effects								
<p><b>Protected characteristics</b></p>	<p><b>Age</b></p>	<p>L</p>		<p>Makes provision to preserve and enhance the rich and diverse Archaeological heritage of the County and its intrinsic historic value.</p> <p>Contributes to the creation of a sense of place and history and offers significant value to</p>					

				all aspects of the community.	
	<b>Disability</b>	L		<p>Makes provision to preserve and enhance the rich and diverse Archaeological heritage of the County and its intrinsic historic value.</p> <p>Contributes to the creation of a sense of place and history and offers significant value to all aspects of the community.</p> <p>Note: The SPG focuses on considerations in relation to identification and preservation of archaeological remains and does not cover matters relating to accessibility or otherwise.</p>	
	<b>Gender reassignment</b>	N			
	<b>Race</b>	L		Makes provision to preserve and enhance the rich and diverse Archaeological heritage of the County and its intrinsic historic value.	

				Contributes to the creation of a sense of place and history and offers significant value to all aspects of the community.	
	<b>Religion/Belief</b>	L		Makes provision to preserve and enhance the rich and diverse Archaeological heritage of the County and its intrinsic historic value. Contributes to the creation of a sense of place and history and offers significant value to all aspects of the community.	
	<b>Pregnancy and maternity</b>	N			
	<b>Sexual Orientation</b>	L		Makes provision to preserve and enhance the rich and diverse Archaeological heritage of the County and its intrinsic historic value. Contributes to the creation of a sense of place and history and offers significant value to all aspects of the community.	

	<b>Sex</b>	L		<p>Makes provision to preserve and enhance the rich and diverse Archaeological heritage of the County and its intrinsic historic value.</p> <p>Contributes to the creation of a sense of place and history and offers significant value to all aspects of the community.</p>	
	<b>Welsh language</b>	M		<p>Makes provision to preserve and enhance the rich and diverse Archaeological heritage of the County and its intrinsic historic value.</p> <p>Contributes to the creation of a sense of place and history and offers significant value to all aspects of the community recognising the rich cultural heritage of the County and the intrinsic role of the Welsh language.</p>	
	<b>Any other area</b>	N			



<p>5. Has there been any consultation/engagement with the appropriate protected characteristics?</p>	<p>Not applicable. The document will be formally published for public consultation as part of its preparation.</p> <p>YES <input type="checkbox"/>                      NO <input type="checkbox"/></p>	
<p>6. What action(s) will you take to reduce any disproportionately negative impact, if any?</p> <p>The SPG will where appropriate be amended to ensure any impact which emerges as a result of its publication and formal public consultation is satisfactorily considered and responded to.</p>		
<p>7. Procurement</p> <p>Following collation of evidence for this assessment, are there any procurement implications to the activity, proposal, service.</p> <p>Please take the findings of this assessment into your procurement plan. Contact the corporate procurement unit for further advice.</p>		
<p>8. Human resources</p> <p>Following collation of evidence for this assessment, are there any Human resource implications to the activity, proposal or service?</p> <p>None</p>		
<p>9. Based on the information in sections 2 and 6, should this function/policy/procedure/practice or a decision proceed to Detailed Impact Assessment? (recommended if one or more H under section 2)</p>	<p>YES <input type="checkbox"/></p>	<p>NO <input checked="" type="checkbox"/></p>
<p>Approved by:</p> <p>Head of Service</p>	<p>Llinos Quelch</p>	<p>Date:</p>

## Detailed Equalities Impact Assessment Template

### Appendix 2

<b>Department:</b>	Please see initial impact assessment
<b>Completed by (lead):</b>	
<b>Date of Detailed assessment:</b>	
<b>Area to be assessed: (<i>Policy, function, procedure, practice or a financial decision</i>)</b>	Please see initial impact assessment
<b>Is this existing or new function/policy/Procedure/ practice</b>	Please see initial impact assessment

<b>1. Describe the aims, objectives or purpose of the function/policy, practice or procedure and who is intended to benefit.</b>	Please see initial impact assessment
<b>2. Please list any existing documents, evidence, research which have been used to inform the Detailed equality impact assessment.</b> (This must include relevant data used in this assessment)	

<b>3. Has any consultation, involvement been undertaken with the protected characteristics to inform this assessment?</b> (please provide details, who and how consulted)				
<b>4. What is the actual/likely impact?</b>				
<b>5. What actions are proposed to address the impact?</b> ( <i>The actions needs to be specific, measurable and outcome based</i> )	<b>What are we going to do</b>	<b>Who will be responsible</b>	<b>When will it be completed</b>	<b>How will we know we have achieved our objective</b>
<b>6. How will actions be monitored?</b>				

<b>Approved by:</b> Head of Service		Date:

Thank you for completing this assessment.

For further information regarding Assessing Impact, please contact the -

Policy & Partnership Team

Chief Executive's Department

01267 22(4914) / (4676)

[equalities@carmarthenshire.gov.uk](mailto:equalities@carmarthenshire.gov.uk)

**Please send a copy of the assessment to the above e-mail address upon completion.**